

Establishing of the controlling person of operating legal entities and partnerships both not quoted on the stock exchange

(for operating legal entities and partnerships that are contracting partner as well as analogously for operating legal entities and partnerships that are beneficial owners)

Account/securities account number :

Contracting partner :

Category (where appropriate) :

The contracting partner hereby declares that (tick the appropriate box):

- the person(s) listed below is/are **holding 25% or more of the contracting partner's shares (capital shares or voting rights)** ;or
- if the capital shares or voting rights cannot be determined or in case there are no capital shares or voting rights of 25% or more, the contracting partner hereby declares that the person (s) listed below **is/are controlling the contracting partner in other ways**; or
- in case this/these person(s) can not be determined or this/these person (s) does/do not exist, the contracting partner hereby declares that the person(s) listed below is/are the **managing director(s)**.

Last name(s)/entity :

First name(s) :

Actual address of domicile/registered office:

Fiduciary holding of assets

Is a third person the beneficial owner of the assets held in the account/securities account?

- No
- Yes -> The relevant information regarding the beneficial owner has to be obtained by filling in a separate form A.

The contracting partner hereby undertakes to automatically inform the bank of any changes to the information contained herein

Date

Signature(s)

It is a criminal offence to deliberately provide false information on this form (article 251 of the Swiss CriminalCode, document forgery).